



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : David Martin  
FOR : **METHOD AND DEVICE FOR  
PREVENTING CHECK FRAUD**  
SERIAL NO. : 09/345,202  
FILED : June 30, 1999  
LAST OFFICE ACTION : UNKNOWN  
EXAMINER : UNKNOWN  
GROUP ART UNIT : 2876  
ATTORNEY DOCKET NO. : 0236.0006

Akron, OH 44333-1343

October 22, 1999

\*\*\*\*\*

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97 is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on this 22nd day of October, 1999.

By: Carol E. Nisly  
Carol E. Nisly

\*\*\*\*\*

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 and §1.97

Dear Sir:

In accordance with 37 C.F.R. § 1.56 the applicant files this Information Disclosure Statement (IDS) under one of the following five circumstances:

1. \_\_\_\_\_ **No Information to disclose:** applicant has no information to disclose at this time.
2. \_\_\_\_\_ **Under § 1.97(i):** this IDS is filed with the knowledge that it will NOT be considered.
  - this IDS is filed BEFORE the grant of a patent; AND
  - this IDS does NOT comply with the requirements noted below
  - applicant notes that the information disclosed herein WILL be placed in the file
3.   X   **Under § 1.97(b):** this IDS should be considered because it is being filed
  - within 3 months of the filing date of a national application OR
  - within 3 months of the date of entry of the national stage of an international appl. OR
  - before the mailing date of a first Office Action on the merits, whichever occurs last.
4. \_\_\_\_\_ **Under § 1.97(c):** this IDS should be considered because it is being filed
  - after the period specified Under § 1.97(b) above but BEFORE either
  - the mailing date of a final action under § 1.113 OR
  - the mailing date of a notice of allowance under § 1.311, whichever occurs last,
  - AND is accompanied by either:

A) \_\_\_\_\_ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) \_\_\_\_\_ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) \_\_\_\_\_ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; OR

B) \_\_\_\_\_ A check in the amount of \$ 240.00 is enclosed to cover the Information Disclosure Statement (IDS) Fee under 37 C.F.R. § 1.17(p) as required when neither item (1) nor (2) above are selected.

5. \_\_\_\_\_ **Under § 1.97(d):** this IDS should be considered because it is being filed
  - after the period specified Under § 1.97(c) above but
  - ON OR BEFORE payment of the issue fee,
  - and is accompanied by:

A) \_\_\_\_\_ one of the following statements under § 1.97(e):

I, Daniel A. Thomson, the undersigned hereby state:

(1) \_\_\_\_\_ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, OR

(2) \_\_\_\_\_ To the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this statement; AND

B) \_\_\_\_\_ a petition requesting consideration of the IDS; AND

C) \_\_\_\_\_ A check in the amount of \$ 130.00 is enclosed for the petition fee as set forth under 37 C.F.R. § 1.17(i).

In accordance with § 1.56 and § 1.97 the references listed below and on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of these cited documents are enclosed.

#### English-Language Documents

Patentee	Patent No.	Issue Date
----------	------------	------------

#### Foreign-Language Documents

Country	Patent No.	Issue Date
---------	------------	------------

#### Other Documents

Safe Cheq

X   Please charge deposit account No. 05-0875 if any additional fees are required.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

October 22, 1999  
Date

Respectfully submitted,

**EMERSON & ASSOCIATES**

  
Daniel A. Thomson

Reg. No. 43,189  
4421 Ranchwood Spur  
Akron, OH 44333-1343  
(330) 535-9999

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
0236.0006	09 / 345,202
APPLICANT	
David Martin	
FILING DATE	GROUP
June 30, 1999	2876

[illegible][illegible]

		Safe Cheq

EXAMINER	DATE CONSIDERED
----------	-----------------

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE								Sheet		1	of		1
(Rev. 2-32) PATENT AND TRADEMARK OFFICE								ATTY. DOCKET NO.		SERIAL NO.			
METHOD AND DEVICE FOR PREVENTING CHECK FRAUD								0236.0006		09	345	202	
INFORMATION DISCLOSURE								APPLICANT					
STATEMENT BY APPLICANT								David A. Martin					
(Use several sheets if necessary)								FILING DATE		GROUP			
								June 30, 1999		2876			
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
		6	0	7	3	1	2	1	6/6/00	RAMZY	705	45	9/29/97
FOREIGN PATENT DOCUMENTS													
		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
EXAMINER				DATE CONSIDERED									